

CAMIERRE SIMON ESPLANA

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SKILLS AND PROFICIENCIES:

- Excellent command of the English and Filipino language spoken, and written
- Positive approach to change, training and development
- Strong leadership skills and self-motivated individual
- Ability to work unsupervised and direct own work
- Proficient in doing research using both internal and external sources, gather data and analyze documentation in accordance with regulatory requirements
- Proficient in use of Microsoft applications such as MS Excel, MS Word, MS PowerPoint, and MS Visio.
- Strong decision making and analytical skills
- Ability to write business letters and reports, and to understand and interpret numeric data.
- Understanding/Experienced in Anti Money Laundering, Compliance, and Risk

PROFESSIONAL EXPERIENCE:

IQEQ (formerly AUGENTIUS) Phils. Inc

Senior AML/KYC Officer

(DEC 2020 – Present)

- Perform periodic KYC reviews, client screenings, and risk assessments.
- Perform onboarding, periodic and trigger event reviews.
- Review and ensure completeness and accuracy of KYC documentation.
- WorldCheck validation and update
- Liaise with internal and external stakeholders and business partners.
- Reviewing and understanding the profile of the clients.
- Account remediation
- Assist/support another department as necessary.

IQEQ (formerly AUGENTIUS) Phils. Inc

KYC/CDD & FATCA Advisor

(OCT 2018 – NOV 2020)

- Tax Forms validation
- Perform regulatory compliance audits and risk assessments.
- WorldCheck Validation and Update
- Liaise with business partners
- Client screening and KYC review
- Review of client/fund structures to determine Financial Institution classification and FATCA scope requirements
- Provide guidance to other teams on registration of client entities with relevant tax authorities
- Account remediation

HSBC – HDPP Global Private Banking

FCC - SME / QA L2 Specialist

(SEP 2016- SEP 2018)

- Monitoring Transactions of client
- Reviewing and understanding KYC due diligence/ profile of the clients.
- Escalating any possible Money Laundering, Terrorist Financing, Sanctions and/or Risk related to clients and/or its transactions.
- Desk Instruction Manuals (DIM) creation and updates.
- Perform regulatory compliance audits and risk assessments.
- Understands Global Standards relating to Financial Crime Risk
- Create report relating to daily and weekly MI.
- Coaching/Training sessions

**HSBC – HDPP Global Private Banking
UK FATCA & Remediation - Associate**

(MAR 2014 – AUG 2016)

- Corporate Structure SME and QA
- Perform regulatory compliance audits and risk assessments.
- Coaching and training sessions
- Identifying USP/Indicia
- Enhanced review and remediation – Individual and Entity
- Client screening, documents validation, and KYC reviews
- US FATCA Letter creation for clients with US connections
- Creating and/or updating DIMs
- Tax Forms Validation
- Cross-trained for Data Management, and Admin Assistance and Migration

**HSBC –HDPP Manila Care
Senior Associate and Quality Apprentice**

(JUL 2010 – FEB 2014)

- Admin Works
- Respond to telephone inquiries, providing quality service to customers.
- Strive for quick compliant resolution; Ability to resolve problems to avoid escalation issues.
- Manila Care top performer and CSAT Ambassador
- Taking supervisor calls, conduct coaching and training sessions for process/procedure updates, quality monitoring and calibration.
- AMO Variance, and audits.

EDUCATIONAL BACKGROUND:

Tertiary: Academia de San Lorenzo
Tialo, San Jose del Monte, Bulacan, PH
BS in Secondary Education Major in English

Continuous Professional Development Course:

CAMS Certification

Ongoing

International Diploma in Accounting and Finance July 2019
(ICON International Management Training Academy Phils.) Makati, Philippines

Certificate in Risk Management June 2020
Oxford Home Study Centre

ACHIEVEMENTS:

IQEQ

- Compliance and Health and Safety Communications Officer January 2019
- Values Champion March 2019

HSBC

- Cross-trained for FCC Guernsey, UK, PH, and Jersey TMi
- STA for FCC TMi – PWS Jersey (London, United Kingdom) July 2017
- Yellow Belt Certified Jan 2018
- Compliance Certification Passer Nov 2016
- UK FATCA and Remediation QA (for entity) Aug 2014

Reference:

References are available upon request.